

## AUDIT COMMITTEE – 28TH SEPTEMBER 2021

### Report of the Head of Strategic Support

#### ITEM 9 WORK PROGRAMME

##### Purpose of Report

To enable the Committee to consider its Work Programme.

##### Actions Requested

That the Committee considers any items that it wishes to add to or amend, in its work programme for future meetings.

##### Reason

To enable the Committee to identify future items of business and enable planning for future meetings to be undertaken, for example preparing reports and arranging for the attendance of officers and/or others at meetings.

##### Background

The Work Programme agreed at the last meeting of the Committee is attached as an appendix for the consideration of the Committee.

As agreed by the Cabinet at its meeting on 13th February 2020 and by the Scrutiny Commission at its meeting on 10th August 2020, the Budget Scrutiny Panel undertook scrutiny of the Council's draft budget for 2021/22. It made several recommendations to be submitted to the Cabinet. It recommended that:

*The income from commercial investments should be monitored and reported by the Audit Committee and/or Scrutiny Commission on a monthly basis, since this income is crucial for maintaining safe and appropriate level of reserves.*

This recommendation was considered by the Cabinet at its meeting on 11 February 2021 (minute 84 2021 refers) and agreed:

*that in respect of Panel Recommendation 1, in order to better reflect true asset performance, reports are submitted to the Audit Committee and/or Scrutiny Commission on a quarterly basis, after the quarter days where rent payments are made.*

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ISSUE	MEETING
Internal Audit Plan – Progress	September 2021 Quarterly
Risk Management (Risk Register)	September 2021 Quarterly - detailed report every six months, exception report quarters in-between.
Council's Use of Regulation of Investigatory Powers Act (RIPA)	September 2021 Quarterly
External Audit Progress Report and Technical Update <b>External Audit Business</b>	September 2021 Quarterly
Governance and Risk Aspect of Commercial Investment and Performance Review - <b>EXEMPT</b>	September 2021 Quarterly
2020/21 Statement of Accounts	October 2021 (Accounts Meeting) Annually
2020/21 Annual Governance Statement and Review of the Code of Corporate Governance	October 2021 (Accounts Meeting) Annually
2020/21 Annual Governance Report External Audit Business	October 2021 (Accounts Meeting) Annually

Treasury Management Mid-Year Review	November 2021 Annually
Environmental Audits Outcomes – Progress update	November 2021 -Six Monthly Update
Internal Audit Plan – Progress	November 2021 Quarterly
Risk Management (Risk Register)	November 2021 Quarterly - detailed report every six months, exception report quarters in-between.
Risk register COVID-19	November 2021 (if required)
Council's Use of Regulation of Investigatory Powers Act (RIPA)	November 2021 Quarterly
External Audit Progress Report and Technical Update <b>External Audit Business</b>	November 2021 Quarterly
Governance and Risk Aspect of Commercial Investment and Performance Review - <b>EXEMPT</b>	November 2021 Quarterly
2020/21 Treasury Management Statement, Annual Investment Strategy and MRP Strategy	February 2022 Annually
2021/22 Internal Audit Plan <b>Internal Audit Business</b>	February 2022 Annually
2020/21 Annual Audit Letter	February 2022 Annually
External Audit Progress Report and Technical Update <b>External Audit Business</b>	February 2022 Quarterly
Capital Strategy 2021-22	February 2022 Annually
Internal Audit Plan – Progress	February 2022 Quarterly
External Quality Assessment of Internal Audit	February 2022
Governance and Risk Aspect of Commercial Investment and Performance Review - <b>EXEMPT</b>	February 2022 Quarterly
Annual IT Health Check (Code of Connection) <b>Confidential Report</b>	February 2022 Annually – <b>Moved from September</b>

	<b>2021 meeting</b>
Future of Local Public Audit	<p>Report on Government proposals considered 5th July 2011.  Further report once final regulations/guidelines are known.  <u>Note:</u>  Appointing Your External Auditor briefing note considered June 2016.</p>
Policy for Engagement of External Auditors for non-audit work	<p>Considered March 2013.   Review policy - date to be agreed</p>